



## **Notice to Members 2025-44**

Subject: Amendments to ForecastEx Compliance Manual

Notice Date: July 30, 2025

Effective Date: August 13, 2025

ForecastEx has self-certified changes to the ForecastEx Compliance Manual with the Commodity Futures Trading Commission (“CFTC”).

A copy of the filing with the CFTC is attached below.

Questions regarding this notice should be directed to: [compliance@forecastex.com](mailto:compliance@forecastex.com)



July 30, 2025

**Submitted via CFTC Portal**

Christopher J. Kirkpatrick  
Secretary of the Commission  
Office of the Secretariat  
U.S. Commodity Futures Trading Commission  
Three Lafayette Centre  
1155 21st Street, NW  
Washington, D.C. 20581

**Re: Amendments to ForecastEx Compliance Manual**

Pursuant to Commodity Exchange Act (“CEA”) Section 5c and Commodity Futures Trading Commission (“CFTC”) Regulation 40.6(a) ForecastEx LLC (“ForecastEx”, the “Exchange”, or the “Clearinghouse”) is self-certifying changes to its Compliance Manual to be made effective August 13, 2025.

These changes are described in the Confidential Appendices.

ForecastEx certifies that the proposed amendments comply with the CEA and CFTC regulations. ForecastEx further certifies that a copy of this 40.6 filing has been posted to the ForecastEx Website.

After reviewing the Core Principles for Designated Contract Markets (“DCMs”) and Designated Clearing Organizations (“DCOs”), ForecastEx has determined that the proposed amendments may relate to the following Core Principles.

**DCO Core Principles:**

CFTC Regulation 39.25 – Core Principle P – Conflicts of Interest: This Core Principle requires a DCM to establish and enforce rules that minimize conflicts of interest in the decision-making process of the contract market and to establish a process for resolving conflicts of interest.

**DCM Core Principles:**

CFTC Regulation 38.850 – Core Principle 16 – Conflicts of Interest: This core principle requires a DCM to establish and enforce rules that minimize conflicts of interest in the decision-making process of the contract market and to establish a process for resolving conflicts of interest.

No substantive opposing views were offered in response to this submission.

If there are any questions regarding the submission please contact me at [gdeese@forecastex.com](mailto:gdeese@forecastex.com).



Respectfully Submitted,

A handwritten signature in black ink that reads 'Graham Deese' in a cursive script.

Graham Deese  
Chief Regulatory Officer  
ForecastEx LLC

Exhibits: (Confidential)