



Notice to Members 2026-41

Subject: ForecastEx 40.6(a) Submission
Date: February 4, 2026
Effective Date: February 19, 2026

ForecastEx has self-certified amendments to the ForecastEx Compliance Manual with the CFTC, to go into effect on February 19, 2026.

A copy of the filing to the CFTC is included below.

Questions regarding this notice should be directed to: compliance@forecastex.com



February 4, 2026

Submitted via CFTC Portal

Assistant Secretary of the Commission
for FOI, Privacy and Sunshine Acts Compliance
U.S. Commodity Futures Trading Commission
Three Lafayette Centre
1155 21st Street, NW
Washington, D.C. 20581

Re: Modifications to ForecastEx Compliance Manual

Pursuant to Commodity Exchange Act (“CEA”) Section 5c and Commodity Futures Trading Commission (“CFTC”) Regulation 40.6(a) ForecastEx LLC (“ForecastEx”, the “Exchange”, or the “Clearinghouse”) is self-certifying changes to the ForecastEx Compliance Manual. These changes become effective February 19, 2026.

These changes are described in detail in the confidential appendices.

ForecastEx certifies that the proposed amendments comply with the Commodity Exchange Act (“CEA”) and CFTC regulations. ForecastEx further certifies that a copy of the 40.6 filing has been posted to the ForecastEx Website.

ForecastEx has reviewed the Core Principles for Designated Contract Markets (“DCMs”) and has determined that the amendments may relate to the following Core Principles.

CFTC Regulation 38.150 – Compliance With Rules: This core principle requires the board of trade to establish monitor and enforce compliance with the rules of the exchange. These changes are being made to ForecastEx’s Compliance Manual, which is governing document detailing the activities of the Compliance Department.

CFTC Regulation 38.850 – Conflicts of Interest: This core principle requires the board of trade to minimize conflicts of interest in the decision-making process of the DCM. Some of the implemented changes impact ForecastEx’s conflict of interest rules.

ForecastEx has reviewed the Core Principles for Derivatives Clearing Organizations (“DCOs”) and has determined that the amendments may relate to the following Core Principles.

CFTC Regulation 39.17 – Rule Enforcement: This core principle requires that a DCO maintain adequate arrangements and enforcement ability for effective monitoring and enforcement of compliance with DCO rules. These changes are being made to ForecastEx’s Compliance Manual, which is governing document detailing the activities of the Compliance Department.

CFTC Regulation 39.25 – Conflicts of Interest: This core principle requires a DCO to minimize conflicts of interest in the decision-making process of the DCO. Some of the implemented changes impact ForecastEx’s conflict of interest rules.

No substantive opposing views were offered in response to this submission.

If there are any questions regarding the submission please contact me at gdeese@forecastex.com.

Respectfully Submitted,

Graham Deese
Chief Regulatory Officer

Attachments:

Exhibit A – Explanation of Changes (Confidential)

Exhibit B – ForecastEx LLC Compliance Manual (Confidential)

Exhibit C – ForecastEx LLC Compliance Manual – Redline (Confidential)